



OPERATOR'S COMPLIANCE STATEMENT (OCS) FORM

SMS MANUAL (AUA-OPS 2)

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| Date: | |
| Operator: | |
| Aeroplane Type(s): | |

Instructions: AUA-OPS 2 Ch. 12.3.2 requires that operators implement a Safety Management System acceptable to the DCA containing the following suggested contents. The SMS should be appropriate to the size and complexity of the operation. Please review the SMS Manual against this Operator's Compliance Statement Form and indicate your compliance reference which shall be mentioned in your manual (name of manual, including chapter location, paragraph and/or page number(s)).

This form must be signed by the Nominated General Manager mentioned in DCA form INS-4.014

| | Manual Reference: |
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| OPERATOR SAFETY MANAGEMENT SYSTEM | |
| 0. MANUAL STRUCTURE AND AMENDMENTS | |
| 0.1 List of Effective Pages; | |
| 0.2 Index; | |
| 0.3 Log of revision; | |
| 0.4 Description of the manual revision system; | |
| 0.5 Statement that any amendments require a new Form INS-2.013 OCS; | |
| 0.6 Procedure to assure revision of issued manual; | |
| 1. ESTABLISHMENT OF SAFETY POLICY (SP) AND OBJECTIVES | |
| 1.1 Management Commitment and Responsibility | |
| a. Safety Policy i.a.w. International and National requirements; | |
| b. Organizational commitments regarding safety: | |
| b.1) Provision of the necessary resources for the implementation of the Safety Policy; | |
| b.2) Means of clearly communicating the implementation of the Safety Policy; | |
| c. Safety Policy must refer to safety reporting procedures, such as: | |
| c.1) Identification of unacceptable operational behaviors types; | |
| c.2) Conditions under which disciplinary action would not apply; | |
| d. Periodic review of Safety Policy for its relevancy and currency; | |
| e. Management Commitment signed by Accountable Executive; | |
| 1.2 Safety Accountabilities | |
| a. Person, designated by Accountable Executive, to be ultimately responsible " and accountable for the implementation and maintenance of the SMS; | |
| b. Persons (in the Safety Review Board), management or other employees, responsible for the Safety Performance; | |
| c. Responsibilities, accountabilities, and authorities of each person (refer to b); | |

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| d. Definition of the levels of management with authority to make decision regarding Safety Risk Tolerability; | |
| 1.3 Appointment of Key Safety Personnel | |
| a. Safety Manager responsible and focal point for the implementation and maintenance of an effective SMS; | |
| 1.4 Coordination of Response Planning | |
| a. Description of an Emergency Response Plan which must provide orderly and efficient transition from normal to emergency and back to normal operations; | |
| b. Coordination with other emergency response plans of other organizations during the interface with these; | |
| 1.5 SMS Documentation Development and Maintenance of: | |
| a. SMS Implementation Plan; | |
| b. SMS documentation, description: | |
| b.1) Safety Policy and objectives; | |
| b.2) SMS requirements; | |
| b.3) SMS processes and procedures; | |
| b.4) Accountabilities, responsibilities and authorities for processes and procedures; | |
| b.5) SMS outputs; | |
| 2. SAFETY RISK MANAGEMENT | |
| 2.1 Hazard Identification: | |
| a. Development and maintenance of the process that identifies the hazards within the operation; | |
| 2.2 Risk Assessment and Mitigation, Development and Maintenance of: | |
| a. Formal process that ensures analysis of the risks within the operation; | |
| b. Formal process that ensures assessment of the risks within the operation; | |
| c. Formal process that ensures control of the risks within the operations; | |
| 3. SAFETY ASSURANCE | |
| 3.1 Safety Performance Monitoring and Measurement: | |
| a. Procedures to verify the Safety Performance of the organization and to validate the effectiveness of Safety risk controls; | |
| b. Procedures to verify safety performance in reference to the safety performance indicators and safety performance targets of the SMS; | |
| 3.2 The Management of Change: | |
| a. Procedure to identify changes within the organization which may affect the level of safety risk associated with the operation established processes and to identify and manage the safety risks that may arise from those changes; | |
| 3.3 Continuous Improvement of the SMS: | |
| a. Procedure to monitor and assess the effectiveness of the SMS processes to enable continuous improvement of the overall performance of the SMS; | |

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| 4. SAFETY PROMOTION | |
| 4.1 Training and Education | |
| a. Procedures in place to develop and maintain a safety training programme that ensures that personnel are trained and competent to perform the SMS duties; | |
| b. The scope of the safety training shall be appropriate to each individual's involvement in the SMS; | |
| 4.2 Safety Communication | |
| a. Procedures are in place for the organization to ensure that all personnel are fully aware of the SMS through the safety communication; | |
| b. Procedures are in place for the organization to ensure that safety-critical information is conveyed through the safety communication; | |
| c. Procedures are in place through safety communication explaining why particular safety actions are taken and why safety procedures are introduced or changed; | |
| --END OF OPERATOR'S COMPLIANCE STATEMENT FORM-- | |

I, the undersigned, declare that:

- 1) This Operator's Compliance Statement Form is filled out truthfully and correctly;
- 2) All the required policies and procedures are compliant with the current Aruba regulations;
- 3) A copy of this Form, properly filled in, has been inserted in front of the applicable SMS and;
- 4) A copy of this Form properly filled has been submitted to the DCA of Aruba.

Name :
 Position :
 Date :
 Manual Revision :

Signature

For official use only